

Koonce Securities, Inc.

Rock Spring Plaza ● 6550 Rock Spring Drive, Suite 600 ● Bethesda, Maryland 20817
 Phone 301-897-9700 ● 800-368-2806 ● FAX 301-897-9794

Customer Account Transfer Form

MEMBER OF THE AUTOMATED CUSTOMER ACCOUNT TRANSFER SYSTEM (ACATS)

① Receiving Account Information

Clearing Number: _____

Koonce Account Number: _____

Investor's Name _____

Title of Account _____

Investor's Street Address _____

Investor's City, State, Zip Code _____

Investor's Social Security Number or Federal Tax ID Number _____

Joint Investor's Social Security Number (if applicable) _____

② Delivering Account Information (Transferring "from")

Clearing Number: _____

Account Number (Delivering Firm): _____

Delivering Broker/Dealer _____

Custodian's Name (if applicable) _____

Delivering Broker/Dealer or Custodian's Street Address (No PO Boxes) _____

Delivering Broker/Dealer or Custodian's City, State, Zip Code _____

Contact Name at Delivering Broker/Dealer or Custodian _____

Contact Phone Number at Delivering Broker/Dealer or Custodian _____

PLEASE ATTACH A COPY OF YOUR MOST RECENT STATEMENT FROM DELIVERING FIRM

To roll over assets from a 401(K) or other qualified employer-sponsored retirement plan, please see your employer for specific details.

③ Transfer Type (select one)

Transfers can only occur between accounts with identical Account registrations.

Non-IRA Transfer:

This Transfer is neither from, nor to, an individual Retirement Account (IRA).
 Receiving Account Type: _____

OR

Retirement Acct:

Traditional or SEP-IRA to Traditional or SEP-IRA, Roth IRA to Roth IRA, Inherited IRA to Inherited IRA
 Qualified Plan to: _____

④ Transfer Instructions (select one)

Full Account In-Kind Transfer (Proceed to section 6) Full Account Liquidation Send Proceeds (Proceed to Section 6)
 (Not Applicable If IRA Account)

Transfer my entire account after liquidating the assets listed below: (Attach additional Sheets if necessary)

Quantity/Amount	Cusip/Ticket	Security Description	Quantity OR "ALL"

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PARTIAL TRANSFER OF ACCOUNT FORM

DATE _____

Name of DELIVERING BROKER

Address

City / State / Zip Code

Ladies and Gentlemen:

Please accept this letter as my / our Authorization to Transfer the following security positions from my account with your Firm:

ACCOUNT NUMBER

to my account at Koonce Securities, Inc. This represents a **PARTIAL TRANSFER** of securities from my account with you and does not necessarily mean that I am closing my account with your Firm. The security positions to be transferred are as follows:

<u>QUANTITY</u>	<u>SECURITY</u>
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All eligible securities are to be delivered to Koonce Securities, Inc. through the Depository Trust Company (**DTC # 712**). Or a Partial ACAT request will be submitted.

Physical deliveries and checks should be payable to and delivered as follows:

Koonce Securities, Inc.
6550 Rock Spring Dr., Suite 600
Bethesda, Md. 20817

For the account of: _____ A/C # _____

THANK YOU FOR YOUR COOPERATION AND ASSISTANCE.

Please be advised that Delaware Charter Guarantee & Trust Company will accept the above item as successor custodian/trustee.

Very truly yours,

Successor Custodian / Trustee
Authorized Signature
Tax I. D. # 51-0099493

Signature of Customer

Signature of Joint Tenant
(If this is a joint account, BOTH parties must sign)

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Delivery Instructions

All DTC-Eligible Securities

All deliveries MUST include client name and Koonce account number.
Delivery to DTC Clearing # 0712, Code 40

Physical Delivery of Securities

All deliveries MUST include client name and Koonce account number.
Koonce Securities, Inc.
Attn: Securities Dept.
6550 Rock Spring Dr., Suite 600
Bethesda, Maryland 20817
1-800-368-2806 301-897-9700

Book-Entry GNMA's, FNMA's, FHLMC's, Treasury Bonds, Notes, Bills etc.

Harris Trust & Savings Bank, Chicago, IL.
ABA 021000018
Bk of NYC/HARCUS/Atlas A/C 2163715 Koonce

Liquidate Money Fund and transfer cash according to Instructions listed in The next sections titled "Fed-Wired Monies" or "Forward Checks"

Fed-Wired Monies

All deliveries MUST include client name and Koonce account number.
Wire to: Lakeside Bank 141 W.Jackson Blvd., Chicago, IL 60604
ABA # 071001504
Account # 167991900
FAO: Koonce Securities, Inc.
FFC: Client Name and Account #

Forward Checks

All deliveries MUST include client name and Koonce account number.
Koonce Securities, Inc.
Attn: Cashiering
6550 Rock Spring Dr., Suite 600
Bethesda, Maryland 20817